


CERTIFICATE OF MAILING UNDER 37 CFR§ 1.10	
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, DC 20231 on May 11, 2001	
EXPRESS MAIL LABEL: ET325961308US	
<u>Amirah Scarborough</u> Name of Person Mailing Document	 Signature of Person Mailing Document

POINT OF SALE ENHANCED MANAGER/
OPERATOR OVERRIDE SYSTEM

TECHNICAL FIELD

5 The present invention relates in general to point of sale systems, and in particular, to point of sale systems incorporating a manager/operator override mechanism.

BACKGROUND INFORMATION

10 In point of sale ("POS") systems, there are certain conditions that require manager overrides. Some of these conditions are the voiding of certain items in a sales transaction, the amount of a price keyed is under a predefined limit, the price keyed for a refund exceeds the department limit for a negative entry type, the price keyed for a miscellaneous transaction payout exceeds the maximum limit, various coupon conditions such as count and amount per transaction, discount limit errors, the total
15 for a tender type in a transaction exceeds the limit for that tender type, to complete a cashier loan if the limit exceeds the predefined limit, or to perform a till exchange when the till contents exceed the user defined limits. The problem is that when a manager override is required, the sales transaction is interrupted, and the time to complete the transaction is delayed. To perform the manager override, typically the
20 following key sequence is required: press the Clear Key, insert the physical manager

key, engage the manager key, press the Override Key, enter an N digit override number, and press the Enter Key. An operator override may also be required during day-to-day store operations. An operator override involves the same keyboard entries described above, but may not require the physical key to be present to perform the override transaction.

As a result, there is a need in the art for an improved method and system for more efficiently conducting manager/operator overrides at point of sale terminals.

SUMMARY OF THE INVENTION

5 The present invention addresses the foregoing need by implementing a biometrics reader device, such as a fingerprint reader, to identify the manager and automatically perform all of the key sequences involved to complete a manager override transaction. As a result, a single fingerprint scan acts as both the physical key and the keying sequence to perform the override procedure.

10 One advantage of the present invention is that it improves upon a disadvantage of current POS systems by eliminating the possibility of theft or loss of the override key or an unauthorized duplication of such key.

The foregoing has outlined rather broadly the features of the present invention in order that the detailed description of the invention that follows may be better understood. Additional features and advantages of the invention will be described hereinafter which form the subject of the claims of the invention.

BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention, and the advantages thereof, reference is now made to the following descriptions taken in conjunction with the accompanying drawings, in which:

FIGURE 1 illustrates a prior art process for implementing a manager override in a point of sale system;

FIGURE 2 illustrates a process for implementing a manager/operator override system in accordance with the present invention;

FIGURE 3 illustrates a prior art point of sale system; and

FIGURE 4 illustrates a point of sale system in accordance with the present invention.

DETAILED DESCRIPTION

In the following description, numerous specific details are set forth such as specific biometrics devices, etc. to provide a thorough understanding of the present invention. However, it will be obvious to those skilled in the art that the present invention may be practiced without such specific details. In other instances, well-known circuits have been shown in block diagram form in order not to obscure the present invention in unnecessary detail. For the most part, details concerning timing considerations and the like have been omitted in as much as such details are not necessary to obtain a complete understanding of the present invention and are within the skills of persons of ordinary skill in the relevant art.

Refer now to the drawings wherein depicted elements are not necessarily shown to scale and wherein like or similar elements are designated by the same reference numeral through the several views.

The present invention uses biometrics device, such as a biometrics fingerprint reader device, for the purpose of identifying an authorized manager or operator, and automatically commencing a sequence of software commands within the point of sale ("POS") system. Referring to FIGURE 4, there is illustrated an exemplary point of sale system 413 in accordance with the present invention. The system has a central processing unit (CPU) 410, which is coupled to various other components by system bus 412. Read only memory ("ROM") 416 is coupled to the system bus 412 and includes a basic input/output system ("BIOS") that controls certain basic functions of the data processing system 413. Random access memory ("RAM") 414, I/O

adapter 418, and communications adapter 434 are also coupled to the system bus 412. I/O adapter 418 may be a small computer system interface ("SCSI") adapter that communicates with a disk storage device 420. Communications adapter 434 interconnects bus 412 with an outside network enabling the data processing system to communicate with other such systems. Input/Output devices are also connected to system bus 412 via user interface adapter 422 and display adapter 436. Keyboard 424, Printer 470, optical scanner 480, credit card reader 490, cash drawer 491 and speaker 428 may all be interconnected to bus 412 via user interface adapter 422. Display monitor 438 is connected to system bus 412 by display adapter 436.

Implementations of the invention include implementations as a computer system programmed to execute the method or methods described herein, and as a computer program product. According to the computer system implementation, sets of instructions for executing the method or methods are resident in the random access memory 414 of one or more computer systems configured generally as described above. Until required by the computer system, the set of instructions may be stored as a computer program product in another computer memory, for example, in disk drive 420 (which may include a removable memory such as an optical disk or floppy disk for eventual use in the disk drive 420). Further, the computer program product can also be stored at another computer and transmitted when desired to the user's POS terminal by a network 460 or by an external network such as the Internet. One skilled in the art would appreciate that the physical storage of the sets of instructions physically changes the medium upon which it is stored so that the medium carries

computer readable information. The change may be electrical, magnetic, chemical, biological, or some other physical change. While it is convenient to describe the invention in terms of instructions, symbols, characters, or the like, the reader should remember that all of these and similar terms should be associated with the appropriate physical elements.

Note that the invention may describe terms such as comparing, validating, selecting, identifying, or other terms that could be associated with a human operator. However, for at least a number of the operations described herein which form part of at least one of the embodiments, no action by a human operator is desirable. The operations described are, in large part, machine operations processing electrical signals to generate other electrical signals.

The present invention uses a biometrics reader device 450 coupled to the user interface adapter 422, which in one embodiment of the present invention may be a fingerprint reader device, to identify the manager, and when the system determines that the manager has accessed the system, to automatically perform all of the key sequences involved to complete a manager override transaction. In summary, one fingerprint scan acts as both the physical key previously required as described above with respect to FIGURE 3, and the keying sequence to perform an override procedure. Such a fingerprint reader device could be the Secure Touch 2000, manufactured by Biometrics Access Corporation, as disclosed at:
http://www.biometricaccess.com/securetouch_2000.htm, which is hereby incorporated by reference herein.

Note, any other type of biometrics device could also be used such as a retinal scanner, voice recognition device, face recognition device, signature recognition, hand size, hand print, etc.

Referring to FIGURE 2, the present invention operates as follows. A cashier
5 operating the point of sale terminal 413 may perform some type of operation on the
point of sale terminal 413 that requires a manager's override (Step 201). Note, any
operator override function can also be performed in accordance with the present
invention. Point of sale terminal 413 then waits to read the input from the biometric
10 fingerprint device 450. The manager is notified (e.g., via pager, e-mail, instant
message, cell phone, intercom) that an override is required and then scans their
fingerprint on the biometric fingerprint device 450 in step 202. The point of sale
system 413 receives the input that the fingerprint was scanned and is in fact
associated with a manager that is authorized to perform the override transaction in
15 step 203. The point of sale terminal 413 then automatically invokes the commands
necessary to complete the manager override procedure in step 204. This procedure
may be any number of commands and keying sequences, as described above with
respect to FIGURE 1.

Since the point of sale terminal 413 is waiting on an indication from a
20 biometric fingerprint device 450, it is possible to receive that indication even from a
remote fingerprint reader coupled to the terminal 413 through a data processing
network 460. In such a way, the manager may be at any location near a terminal on
the network, and upon receiving notification that an override is required, the manager
can then use the fingerprint reader at a remote location to complete the override

without the need to physically walk out to the point of sale terminal 413 that requires attention. The fingerprint reader can be attached to or integrated into other devices associated with the POS system, such as attached to a check verification device. The fingerprint reader can also be located remotely such as in a back office of a store.

5 Benefits to the present invention are that it saves overall transaction time when a manager/operator override is required, it reduces the possibility of keying errors when performing the overrides, it simplifies store operations and reduces training costs/complexity by reducing the override procedure to a single fingerprint scan, and it uses existing hardware in the store in that there is no need to purchase additional
10 devices to perform automatic overrides. Additionally, the present invention may also make use of fingerprint readers that are already associated with existing check authorization devices.

Although the present invention has been described above with respect to POS systems, it should be clear to those skilled in the art that the ideas and concepts
15 disclosed herein can also apply to any systems that previously required a key and a keying sequence to complete a transaction. For example, the present invention could be utilized within banking/financial institutions that may require a key to approve transactions for large sums of money, or to authorize the transfer of large sums of money. Additionally, the present invention can be utilized within military systems
20 that may require a key to perform a critical procedure.

Although the present invention and its advantages have been described in detail, it should be understood that various changes, substitutions and alterations can

be made herein without departing from the spirit and scope of the invention as defined by the appended claims.